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From : Winston Hsu, Registration No. 41,526

Serial No.: 10/605,780

Attorney Docket No.: DATP0001USA

Subject: Information Disclosure Statement (IDS)

Total Pages: 71 pages (including cover page)

Winston Hsu SEP 23 2005

DATP0001USA0_D1_1

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PTO/SB/21 (09-04)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/605,780	
	Filing Date	10/26/2003	
	First Named Inventor	Chia-Jen Wang	
	Art Unit	2835	
	Examiner Name	DATSKOVSKIY, MICHAEL V	
Total Number of Pages in This Submission	70	Attorney Docket Number	DATP0001USA

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	North America Intellectual Property Corporation		
Signature	<i>Winston Hsu</i>		
Printed name	Winston Hsu		
Date	SEP 23 2005	Reg. No.	41,526

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name		Date	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/17 (12-04)

Approved for use through 07/31/2008. OMB 0851-0032

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Effective on 12/08/2004.
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).**FEE TRANSMITTAL**
For FY 2005☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 0.00

Complete If Known

Application Number	10/605,780
Filing Date	10/26/2003
First Named Inventor	Chia-Jen Wang
Examiner Name	DATSKOVSKIY, MICHAEL V
Art Unit	2835
Attorney Docket No.	DATP0001USA

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☒ Deposit Account Deposit Account Number: 50-3105 Deposit Account Name: North America Intellectual Property Corp.

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

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FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

Total Claims **Extra Claims** **Fee (\$)** **Fee Paid (\$)** **Multiple Dependent Claims**

- 20 or HP = _____ x _____ = _____ **Fee (\$)** **Fee Paid (\$)**

HP = highest number of total claims paid for, if greater than 20

Indep. Claims **Extra Claims** **Fee (\$)** **Fee Paid (\$)**

- 3 or HP = _____ x _____ = _____

HP = highest number of independent claims paid for, if greater than 3

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 = _____	/ 50 = _____	(round up to a whole number) x _____	= _____	

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other: submission of Information Disclosure Statement

Fees Paid (\$)

0.00

SUBMITTED BY

Signature	<u>Winston Hsu</u>	Registration No. 41,526 (Attorney/Agent)	Telephone 302-729-1562
Name (Print/Type)	Winston Hsu	Date	SEP 23 2005

This collection of information is required by 37 CFR 1.138. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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SEP 23 2005

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/605,780 Confirmation No. 2779
Applicant : Chia-Jen Wang
Filed : October 26, 2003
TC/A.U. : 2835
Examiner : Michael V. Datskovskiy
Docket No. : DATP0001USA
Customer No. : 27765

5 Title: EXTERNAL CONNECTION DEVICE FOR A STORAGE DEVICE

To: Commissioner for Patents
P.O. BOX 1450
Alexandria, VA 22313-1450

10

Subject: Information disclosure statement under 37 CFR §1.56

Dear Sir,

15

This is an Information Disclosure Statement in accordance with the duty to disclose information material to patentability under 37 CFR §1.56. The applicant wishes to make of record the documents listed on the accompanying form PTO/SB/08.

20

Each item of information contained in the information disclosure statement was first cited in an Office communication mailed on Jun 24, 2005 for the counterpart Taiwan patent application number 092119871, which are no more than three months prior to the filing of the information disclosure statement.

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In accordance with MPEP 609 III B(4), information disclosure statements filed after payment of the issue fee in an application will not be considered but will merely be placed in the application file. The applicant is not willing to withdraw the application from issue. It is respectfully requested that the cited references
5 merely be placed in the application file and be made of record.

According to the requirement set forth in 37 C.F.R. §1.98 and MPEP 609, the applicant is submitting a copy of Taiwanese patent documents TW 475742 (published Feb 01, 2002), TW 535929 (published Jun 01, 2003), TW 531148 (published May 01,
10 2003), TW 452321 (published Aug 21, 2001), and TW 541048 (published Jul 01, 2003).

The translation of the abstract of TW 475742 is as follows: The application discloses an external connection device for a peripheral device, such as a hard disk
15 drive or a CD drive. The external connection device makes it possible to adjust the setting of the peripheral device, ex. to adjust the setting of the JUMPs of a hard disk drive, without removing the peripheral device. An uncovered interface installed on the housing of the external connection device is connected to a setting unit of the peripheral device, such as a JUMP switch, via a connection unit. Therefore, a user or a
20 maintenance worker can adjust the setting of the peripheral device without removing the peripheral device from the external connection device.

The translation of the abstract of TW 535929 is as follows: The application is related to an improved structure of an external connection device for placing a hard
25 disk drive or a CD drive. A U-shaped fillister is formed on a side of a housing of the external connection device. A U-shaped handle is hinged with the U-shaped fillister. The U-shaped handle can be contained in the fillister and pulled out from the fillister. When the U-shaped handle is contained in fillister, the surface of the housing is plane. The external connection device can be easily moved out to connect to another
30 computer by pulling the U-shaped handle.

The English language abstract of the Taiwanese patent TW 531148 is recited as

follows: A receptacle for receiving an optoelectronic module. The receptacle includes a frame (1), a metal door (2) received in the frame, and a metal shield (3) attached on the frame. The frame includes a rectangular closure (17), and two parallel side walls (11) extending from opposite sides of the closure. A plurality of shallow slots (141, 142) is defined in opposite sides of the closure. An entrance (14) is defined in a middle of the closure. The door movably blocks the entrance. Each of a pair of grounding plates (4) includes a pair of resilient side clip (42) attached in the shallow slots of the closure, a plurality of resilient latches (43) engaging with the closure, and a plurality of grounding tabs (44, 45). The grounding plates are thereby firmly fastened on the closure, ensuring reliable grounding contact therebetween.

The translation of the abstract of TW 452321 is as follows: The application is related to an external connection device. The external connection device is composed of a lower housing, an upper housing, two fixtures, and a signal connection device. The external connection is characterized by fixing the two fixtures on the internal surface of two sides of the lower housing by screwing screws through holes of the lower housing to screw holes. An opened ditch is formed at a position corresponding to the holes of the lower housing. A tenon extends downward from a position of the upper housing which is corresponding to the ditch. The holes of the upper housing can be located in the ditch by placing a peripheral device between the two fixtures and by adjusting the position of the peripheral device. Then the peripheral device is fixed in the lower housing by screwing screws through the ditch and the holes of the lower housing to screw holes. The upper housing connects with the lower housing and the tenon is plugged into the ditch. Finally, screws pass through side holes of the upper housing and are screwed into the holes.

The translation of the detail description of TW 541048 is as follows: The application is related to an appearance design of an external connection device. The appearances of traditional external connection devices are monotone. The primary object of the present application is to beautify the appearance of the external connection device and to provide a new and creative design of the appearance. By looking from the front of the external connection device, the

external connection device of the present application is like a rocket. With sideways
looking of the external connection device, the front of the external connection device
is an elegant cambered surface and the back of the external connection device has a
big belly for placing a peripheral device. The surface between the front and the back
5 of the external connection is smooth. The appearance of the external connection
device is modern, beautiful, and symmetrical.

Sincerely yours,

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Date: SEPTEMBER 23, 2005

Winston Hsu, Patent Agent No. 41,526

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20 D.C. is 12 hours behind the Taiwan time, i.e. 9 AM in D.C. = 9 PM in Taiwan.)